

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.  
ROC920000177US1SERIAL NO.  
09/672,043LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS  
INFORMATION  
DISCLOSURE STATEMENTAPPLICANT:  
Jeffrey Jay Scheel et al.

(Use several sheets if necessary)

Page 1 of 1

FILING DATE:  
September 29, 2000GROUP:  
2123

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
<i>Ayal</i>	AA	5	6	5	9	7	8	6	8/19/1997	George et al.	395	653	
<i>Ayal</i>	AB	4	8	4	3	5	4	1	6/27/1989	Bean et al.	364	200	
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													
	AP													

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

<i>Ayal</i>	AR	U.S. Patent Application Serial No. 09/838,057, entitled "Method and Apparatus for Allocating Processor Resources in a Logically Partitioned Computer System," filed April 19, 2001.
<i>Ayal</i>	AS	U.S. Patent Application Serial No. 09/939,232, entitled "System for Yielding to a Processor," filed August 24, 2001.
<i>Ayal</i>	AT	U.S. Patent Application Serial No. 09/939,235, entitled "Yield on Multithreaded Processors," filed August 24, 2001.

EXAMINER

*Ayal Sharon*

DATE CONSIDERED

12/9/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.  
ROC920000177US1SERIAL NO.  
09/672,043LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT:  
Jeffrey Jay Scheel et al.FILING DATE:  
September 29, 2000GROUP:  
2123

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
	BA					
	BB					
	BC					
	BD					
	BE					
	BF					
	BG					
	BH					
	BI					
	BJ					
	BK					

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	BL						
	BM						
	BN						
	BO						
	BP						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

BR	IBM Corporation, S/390 Processor Resource/Systems Manager Planning Guide (IBM Pub. No. GA22-7236-04, 5 <sup>th</sup> Edition,~
	March 1999)
BS	
BT	

EXAMINER

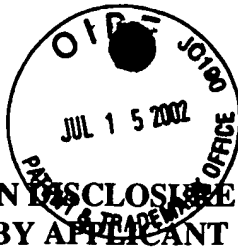
Coul Sharon

DATE CONSIDERED

12/9/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08A

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTSheet 1 of 1

Application Number.: 09/672,043  
 Filing Date: September 29, 2000  
 First Named Inventor: Scheel et al.  
 Art Unit: 2123  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920000177US1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
<i>ayal</i>		US - 5.325.525A	06-28-1994	Shan et al.	
<i>ayal</i>		US - 5.504.670A	04-02-1996	Barth et al.	
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			

RECEIVED

JUL 18 2002

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

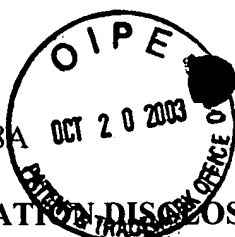
Examiner Signature	<i>ayal sharon</i>	Date Considered	12/9/03
--------------------	--------------------	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08A



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 2

Application Number.: 09/672,043  
 Filing Date: September 29, 2000  
 First Named Inventor: Scheel et al.  
 Art Unit: 2123  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920000177US1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
<i>ayal</i>		US - 5,692,193	11-25-1997	Jagannathan et al.	
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			

**RECEIVED**

OCT 21 2003

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature	<i>ayal Sharon</i>	Date Considered	<i>12/9/03</i>
--------------------	--------------------	-----------------	----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 2 of 2

Application Number.: 09/672,043  
Filing Date: September 29, 2000  
First Named Inventor: Scheel et al.  
Art Unit: 2123  
Examiner Name: Unassigned  
Attorney Docket Number.: ROC920000177US1

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature	<i>April Sharon</i>	Date Considered	12/9/03
--------------------	---------------------	-----------------	---------

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional).

<sup>2</sup> Applicant is to place a check mark here if English language translation is attached.